



Free Seminar

Overview of Institute Risk Assessment, Sanctions Compliance and Transaction Monitoring Programmes

Speaker

Ms Xenia CHEN (陳韻如小姐)

- Director, Financial Services Risk Management at Ernst & Young (EY) Financial Services Advisory
- Certified Anti-Money Laundering Specialist (CAMS)
- More than 10 years of banking and financial services consulting experience

Seminar Outline

- Institutional risk assessment and senior management oversight
- Key pillars of an effective sanctions compliance programme
- Pitfalls of transaction monitoring and how to avoid them
- Case study sharing

You Are Welcome
Priority enrolment for
Professional Members

Seminar Details

- Date: 18 December 2019 (Wednesday)
- Registration: 3:00 p.m. – 3:30 p.m.
Seminar: 3:30 p.m. – 5:00 p.m.
- Venue: HKIB
3/F, Guangdong Investment Tower,
148 Connaught Road Central
- Target audience: - AAMLPL, CAMLPL, CAMS members and practitioners
- Participants who are interested in AML/CFT topics
- Fees: - **FREE** for HKIB Members & Corporate Members'/Affiliates' staff
- **HKD200** for Non-Members*
* [JOIN](#) us now to enjoy the early-bird campaign and [PRIVILEGES](#) as Members
- Language: English
- CPD hours: 1.5*
* Core hours for CAMLPL / AAMLPL / CRWP / ARWP / CB / CB (Stage II) / CB (Stage I) / CFMP
- Enrolment: [Click here to enrol online!](#)



Registration Form

HKIB Free Seminar : Overview of Institute Risk Assessment, Sanctions Compliance and Transaction Monitoring Programmes

Enrolment: [Online](#) / By Email to membership@hkib.org / By Fax to (852)2544 9946

Bank Name	
------------------	--

Full Name <i>(same as on HKID Card)</i>	Membership Number	Department	Position	Email <i>(*See Note 1)</i>	Contact No.
Mr/Ms					
Mr/Ms					
Mr/Ms					
Mr/Ms					
Mr/Ms					

***Priority Enrolment for Professional Members**

Fees:

- Non-Member enrolment (HKD200)
- Hard-copy of CPD Certificate (HKD30) **See Note 5*

Mailing Address:

Total amount: HKD

Payment Method:

Cheque /e-Cheque made payable to "The Hong Kong Institute of Bankers" (Cheque no.: _____)


Credit Card : VISA Master

Cardholder's Name: _____ Signature: _____

Card No.: _____ Expiry Date: _____

How did you know about this programme?

- E-news Friends/Colleagues HKIB Counter HR Department
- HKIB website Others (Please specify) _____

<p>Note for Special Membership Events</p> <ol style="list-style-type: none"> Confirmation will be sent to participants by email within 7 days prior to the event date. Priority of enrolment will be given to Professional Members and on a 'first-come-first-serve' basis. We reserve the right to reject an enrolment at any time. We reserve the right to cancel, modify and/or postpone the course due to unforeseen circumstances. You will receive an e-CPD Certificate issued by HKIB if you have complied with the "HKIB Attendance Policy". Should you wish to apply for a hard-copy of HKIB CPD Certificate, please download the "Application for Certificate of Attendance" and return to HKIB by fax (2544 9946) or email to membership@hkib.org. The information given and personal data collected will be used for the purpose of administration and communication by the Institute. For the Typhoon or Rainstorm arrangement, please refer to the confirmation email or HKIB's website (www.hkib.org). 	 <p>Please visit HKIB website for more membership benefits & training programmes</p>
--	---